IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Silvian Schaffer Art Unit: 2631 Application No.: 09/782,148 Examiner: Filed: February 14, 2001 Washington, D.C. For: SYNCHRONIZING ENCODER-DECODER OPERATION IN Atty.'s Docket: SCHAFFER=4 Date: May 21, 2001 RECEIVED THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 MAY 2 3 2001 Sir: **Technology Center 2600** Transmitted herewith is a [XX] PRELIMINARY AMENDMENT in the above-identified application. Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed. No additional fee is required. The fee has been calculated as shown below: (Col. 1) (Col. 2) (Col. 3) OTHER THAN SMALL ENTITY CLAIMS HIGHEST NO. PRESENT RATE ADDITIONAL OR **ADDITIONAL** RATE REMAINING **PREVIOUSLY EXTRA** FEE FEE **AFTER** PAID FOR **EQUALS** AMENDMENT TOTAL **MINUS** 20 9 \$ 18 \$ INDEP MINUS 3 0 40 \$ 80 \$ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM 135 270 \$ ADDITIONAL FEE TOTAL OR **TOTAL** \$ If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3. If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space. If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed. Conditional Petition for Extension of Time If any extension of time for a response is required, applicant requests that this be considered a petition therefor. [] It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below: Other Than Small Entity Response Filed Within Response Filed Within [] \$ 55.00 \$ 195.00 Second \$ 390.00 1 1 \$ 445.00 f 1 \$ 890.00 Third Fourth \$ 695.00 f 1 - \$ 1390.00 Fourth Month After Time Period Set Month After Time Period Set ___) already paid for ___ month(s) extension of time on _ Please charge my Deposit Account No. 02-4035 in the amount of \$__ Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of \$______ A check in the amount of \$_ is attached (check no.). The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any

overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including

any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees

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under 37 CFR §1.18.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: SCHAFFER=4

Technology Center 2600

Silvain SCHAFFER) Examiner:

Appln. No.: 09/782,148) Washington, D.C.RECEIVED

Date Filed: February 14, 2001) MAY 2 3 2001

For: SYNCHRONIZING THE ENCODER-)

DECODER OPERATION...

PRELIMINARY AMENDMENT

Honorable Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to any action in this case, and applicant having discovered some minor clerical errors in the application text, please amend as follows:

IN THE SPECIFICATION

Page 15 and 16, delete paragraph [0048] and reinsert new paragraph [0048] as follows:

[0048] At the end of the shut down interval the encoder algorithm is released from its initial condition and starts to process the incoming PCM samples. One algorithm frame interval later, the encoder process will produce a frame containing either compressed active speech or silence insertion descriptor (SID) data. As will be appreciated by a person skilled in the art, this procedure described under Phase 2, should preferably be carried out even if the "ForwardReset_confirm" message has not yet been received from the far end.